

Shareholding Pattern for the Quarter Ended on June 30, 2018

General Information about the Company

Scrip code	539268
NSE Symbol	SYNGENE
MSEI Symbol	NA
ISIN	INE398R01022
Name of the company	SYNGENE INTERNATIONAL LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of share holders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total										
(A)	Promoter & Promoter Group	7	143290454	-	-	143290454	71.65	143290454		143290454	71.65	-	-	-	40000000	27.92	-	-	143290454	
(B)	Public	43501	53755689	-	-	53755689	26.88	53755689		53755689	26.88	-	-	-	-	-	-	-	53755689	
(C)	Non-Promoter-Non-Public	1	2953857	-	-	2953857	1.48	2953857		2953857	1.48	-	-	-	-	-	-	-	2953857	
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(C2)	Shares held by Employee Trusts	1	2953857	-	-	2953857	1.48	2953857		2953857	1.48	-	-	-	-	-	-	-	2953857	
	TOTAL	43509	200000000	-	-	200000000	100	200000000		200000000	100	-	-	-	100	40000000	20	-	-	199999890

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)	
								No. of Voting (XIV) Rights		Total % (A+B+C)			No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)		
								Class X	Class Y									Total
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
(1)	INDIAN																	
(a)	Individual/HUF	4	16198	-	-	16198	0.01	16198	-	16198	0.01	-	0.01	-	-	-	-	16198
	Kiran Mazumdar Shaw		7638	-	-	7638	0.00	7638	-	7638	0.00	-	0.00	-	-	-	-	7638
	Yamini R Mazumdar		4000	-	-	4000	0.00	4000	-	4000	0.00	-	0.00	-	-	-	-	4000
	Ravi R Mazumdar		1060	-	-	1060	0.00	1060	-	1060	0.00	-	0.00	-	-	-	-	1060
	Dev Mazumdar		3500	-	-	3500	0.00	3500	-	3500	0.00	-	0.00	-	-	-	-	3500
(d)	Any Other (Specify)	3	143274256	-	-	143274256	71.64	143274256	-	143274256	71.64	-	71.64	40000000	27.92	-	-	143274256
	Biocon Limited		141308942	-	-	141308942	70.65	141308942	-	141308942	70.65	-	70.65	40000000	28.31	-	-	141308942
	Biocon Research Limited		178444	-	-	178444	0.09	178444	-	178444	0.09	-	0.09	-	-	-	-	178444
	Biocon Employee Welfare Trust (Trustee - Murali Krishnan KN and Amitava Saha)		1786870	-	-	1786870	0.89	1786870	-	1786870	0.89	-	0.89	-	-	-	-	1786870
	Sub-Total (A)(1)	7	143290454	-	-	143290454	71.64	143290454	-	143290454	71.64	-	71.64	40000000	27.92	-	-	143290454
(2)	FOREIGN																	
	Total Shareholding(A)= (A)(1)+(A)(2)	7	143290454	-	-	143290454	71.65	143290454	-	143290454	71.65	-	71.65	40000000	27.92	-	-	143290454

B																		
TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																		
(1)	Institutions																	
(a)	Mutual Funds	8	4271123	-	-	4271123	2.14	4271123	-	4271123	2.14	-	2.14	-	-	-	-	4271123
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	4	1131223	-	-	1131223	0.57	1131223	-	1131223	0.57	-	0.57	-	-	-	-	1131223
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	113	35613180	-	-	35613180	17.81	35613180	-	35613180	17.81	-	17.81	-	-	-	-	35613180
(f)	Financial Institutions/ Banks	3	15081	-	-	15081	0.01	15081	-	15081	0.01	-	0.01	-	-	-	-	15081
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	385	2458966	-	-	2458966	1.23	2458966	-	2458966	1.23	-	1.23	-	-	-	-	2458966
Sub-Total (B)(1)		513	43489573	-	-	43489573	21.74	43489573	-	43489573	21.74	-	21.74	-	-	-	-	43489573
(2)	Central Government/ State Government(s)/ President of India																	
Sub-Total (B)(2)		513	43489573	-	-	43489573	21.74	43489573	-	43489573	21.74	-	21.74	-	-	-	-	43489573
(3)	Non-institutions																	
(a(i))	Individuals - i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	41941	7461273	-	-	7461273	3.73	6910899	-	7461273	3.73	-	3.73	-	-	-	-	7461163
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	56	2282988	-	-	2282988	1.14	2235787	-	2282988	1.14	-	1.14	-	-	-	-	2282988
(b)	NBFCs registered with RBI	1	200	-	-	200	0.01	200	-	200	0.01	-	0.01	-	-	-	-	200
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	990	521655	-	-	521655	0.26	521655	-	521655	-	-	0.26	-	-	-	-	521655
Sub-Total (B)(3)		42988	10266116	-	-	10266116	5.13	10266116	-	10266116	5.13	-	5.13	-	-	-	-	10266006
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		43501	53755689	-	-	53755689	26.88	53755689	-	-	26.88	0	26.88	-	-	-	-	53755579

C																	
TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Syngene Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. DINESH IYER	1	2953857	-	-	2953857	1.48	2953857	2953857	1.48	-	-	-	1.48	-	-	2953857
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	2953857	-	-	2953857	1.48	2953857	2953857	1.48	-	-	-	1.48	-	-	-
Total (A+B+C2)		43509	200000000	-	-	200000000	100.00	200000000	200000000	100.00	-	-	-	100.00	40000000	20.00	199999890
Total (A+B+C)		43509	200000000	-	-	200000000	100.00	200000000	200000000	100.00	-	-	-	100.00	40000000	20.00	199999890
Disclosure of notes on shareholding pattern																	

Name : Mayank Verma
Designation : Company Secretary & Compliance Officer
Place : Bengaluru
Date : July 10, 2018